

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

L9289.02139

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/070388

INTERNATIONAL APPLICATION NO.

PCT/JP01/05739

INTERNATIONAL FILING DATE

July 3, 2001

PRIORITY DATE CLAIMED

July 7, 2000

TITLE OF INVENTION

IMAGE CODING APPARATUS AND IMAGE CODING METHOD

APPLICANT(S) FOR DO/EO/US

Koji IMURA, Daiji IDO

Akihiro MIYAZAKI and Koichi HATA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Claim for Priority with PCT/IB/304

PCT/IB/308

PCT/RO/101

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.

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PCT/JP01/05739

1.9289.02139

24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) :

- | | | |
|-------------------------------------|---|-----------|
| <input type="checkbox"/> | Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | \$1040.00 |
| <input checked="" type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | \$890.00 |
| <input type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$740.00 |
| <input type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | \$710.00 |
| <input type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 |

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
|--------|--------------|--------------|------|
|--------|--------------|--------------|------|

| | | | | | |
|--------------|----|--------|---|-----------|---------|
| Total claims | 22 | - 20 = | 2 | x \$18.00 | \$36.00 |
|--------------|----|--------|---|-----------|---------|

| | | | | | |
|--------------------|----|-------|----|-----------|------------|
| Independent claims | 18 | - 3 = | 15 | x \$84.00 | \$1,260.00 |
|--------------------|----|-------|----|-----------|------------|

| | | |
|--|--------------------------|--------|
| Multiple Dependent Claims (check if applicable). | <input type="checkbox"/> | \$0.00 |
|--|--------------------------|--------|

| | | |
|-----------------------------|---|------------|
| TOTAL OF ABOVE CALCULATIONS | = | \$2,186.00 |
|-----------------------------|---|------------|

 Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

| | | |
|-----------------|----------|-------------------|
| SUBTOTAL | = | \$2,186.00 |
|-----------------|----------|-------------------|

| | | | | |
|--|-----------------------------|-----------------------------|---|--------|
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). | <input type="checkbox"/> 20 | <input type="checkbox"/> 30 | + | \$0.00 |
|--|-----------------------------|-----------------------------|---|--------|

| | | |
|---------------------------|----------|-------------------|
| TOTAL NATIONAL FEE | = | \$2,186.00 |
|---------------------------|----------|-------------------|

| | | |
|---|-------------------------------------|---------|
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | <input checked="" type="checkbox"/> | \$40.00 |
|---|-------------------------------------|---------|

| | | |
|----------------------------|----------|-------------------|
| TOTAL FEES ENCLOSED | = | \$2,226.00 |
|----------------------------|----------|-------------------|

Amount to be:

refunded

charged

- ☒ A check in the amount of \$2,226.00 to cover the above fees is enclosed.
☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4375. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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24257
PATENT TRADEMARK OFFICE

SIGNATURE

James E. Ledbetter

NAME _____

28,732

REGISTRATION NUMBER

March 6, 2002

DATE _____